# IAP6 Rec'd PCT/PTO 19 JUL 2007

Art Unit: 1614

Examiner: (not yet assigned)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Daniels et al.

Serial No.:

10/590,859

Filed:

JUL 1 9 2007

August 28, 2006

Entitled:

PHARMACEUTICAL PREPARATIONS

FOR AND TREATMENT OF OCULAR

SURFACE AND OTHER DISORDERS

Attorney Docket No.: ABL-014.1P US

## **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

## TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] a Response to Notification of Missing Requirements pursuant to 35 U.S.C. § 371 (2 pages); [X] an executed Combined Declaration and Power of Attorney (6 pages); [X] a copy of the Notification of Missing Requirements under 35 U.S.C. § 371 (2 pages) [X] a check in the amount of \$130.00 (check no. 7594); and [X] a return receipt post card, for filing in the above-identified patent application.

### **FEE FOR ADDITIONAL CLAIMS**

- [X] A fee for additional claims is not required.
- [ ] A fee for additional claims is required. The additional fee has been calculated as shown below:

1	CLAIMS	HIGHEST	NUMBER OF		
	REMAINING	NUMBER	EXCESS	RATE	FEES DUE
	AFTER	PREVIOUSLY	CLAIMS		
	AMENDMENT	PAID FOR			
TOTAL CLAIMS			0	× \$50	= 0.00
INDEPENDENT			0	× \$200	= 0.00
FIRST INTRODUC	= 0.00				
TOTAL FEES DU	= 0.00				

[ ] Small entity status has already been established for Applicant(s) in this case.

07/20/2007 LLANDGRA 00000035 10590859

01 FC:1617

130.00 OP

### PAYMENT OF ADDITIONAL FEES

[X] A check for the amount of \$130.00 in payment of the fee set forth in 37 CFR §1.17(i) is enclosed. (check no. 7954)

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §1.16 or §1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

### PETITION FOR EXTENSION OF TIME

[ ]	Extension is requested und	ter 37 CFR 1.136(a), and the following extension fee is applicable for the
	paper(s) filed herewith: [	] \$120.00 for response within first month pursuant to 37 CFR 1.17(a)(1);
	[	] \$450.00 for response within second month pursuant to 37 CFR 1.17(a)(2);
	[	] \$1020.00 for response within third month pursuant to 37 CFR 1.17(a)(3);
	[	] \$1590.00 for response within fourth month pursuant to 37 CFR 1.17(a)(4)
	[	] \$2160.00 for response within fifth month pursuant to 37 CFR 1.17(a)(5);

[X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

David G. O'Brien, Reg. No. 46,125 Leon R. Yankwich, Reg. No. 30,237

**Attorneys for Applicants** 

YANKWICH & ASSOCIATES, P.C.

201 Broadway

Cambridge, Massachusetts 02139

telephone: 617-374-3700 telecopier: 617-374-0055

#### **CERTIFICATE OF MAILING**

The undersigned certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service, postage prepaid, as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

July 16, 2007

Date

Melanie A McFadden

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Danie

Daniels et al.

Serial No .:

10/590,859

Filed:

JUL 1 9 2007

August 28, 2006

Entitled:

PHARMACEUTICAL PREPARATIONS

FOR AND TREATMENT OF OCULAR

SURFACE AND OTHER DISORDERS

Attorney Docket No.: ABL-014.1P US

# **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

Sir:

In response to a Notification of Missing Requirements issued on May 16, 2007 and to complete the requirements for acceptance under 35 U.S.C. § 371, Applicants submit herewith an executed Declaration and Power of Attorney under 37 C.F.R §1.63 along with a check covering the the surcharge of \$130.00 under 37 C.F.R. §1.492(h).

The Office of Initial Patent Examination is requested to make note of the following list of inventors: Julie Daniels, Stephanie Watson, Gerd Geerling and John Dart. The enclosed Declaration & Power of Attorney is executed by all the above-named inventors. Entry of the executed combined Declaration & Power of Attorney and acceptance of the enclosed fees are respectfully requested to complete the formal requirements for this application.

Art Unit: 1614

Examiner: (not yet assigned)

U.S. Serial No. 10/590,859 (Atty. Docket No. ABL-014.1P US)

Respectfully submitted,

David G. O'Brien, Reg. No. 46,125 Leon R. Yankwich, Reg. No. 30,237 Attorney for Applicants Yankwich & Associates, P.C.

201 Broadway

Cambridge, Massachusetts 02139

telephone: (617) 374-3700 telefax: (617) 374-0055

## **CERTIFICATE OF MAILING**

The undersigned hereby certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service, postage prepaid, as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

July 16, 2007

date

Melanie A. McFadden

PRIORITY DATE

03/02/2004



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/590,859 Julie Daniels ABL-014.1P US

INTERNATIONAL APPLICATION NO.

PCT/GB05/00806

I.A. FILING DATE

03/02/2005

Leon R. Yankwich YANKWICH & ASSOCIATES 201 Broadway Cambridge, MA 02139 BOSP(HP) due
16-JUL-07
16-JUL-07
16-JUL-07

CONFIRMATION NO. 3810
1 FORMALITIES LETTER

\*OC000000023876661\*

Date Mailed: 05/16/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/28/2006
- Copy of the International Search Report filed on 08/28/2006
- Copy of IPE Report filed on 08/28/2006
- Preliminary Amendments filed on 08/28/2006
- Information Disclosure Statements filed on 11/06/2006
- Oath or Declaration filed on 08/28/2006
- Request for Immediate Examination filed on 08/28/2006
- U.S. Basic National Fees filed on 08/28/2006
- Priority Documents filed on 08/28/2006
- Specification filed on 08/28/2006
- Claims filed on 08/28/2006
- Abstracts filed on 08/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/590,859	PCT/GB05/00806	ABL-014.1P US

FORM PCT/DO/EO/905 (371 Formalities Notice)